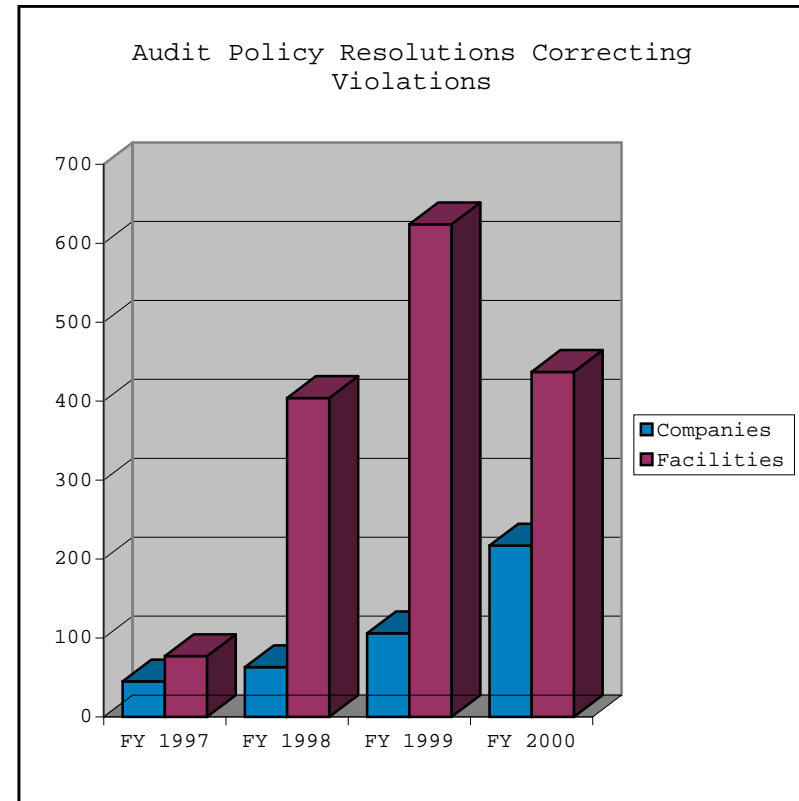
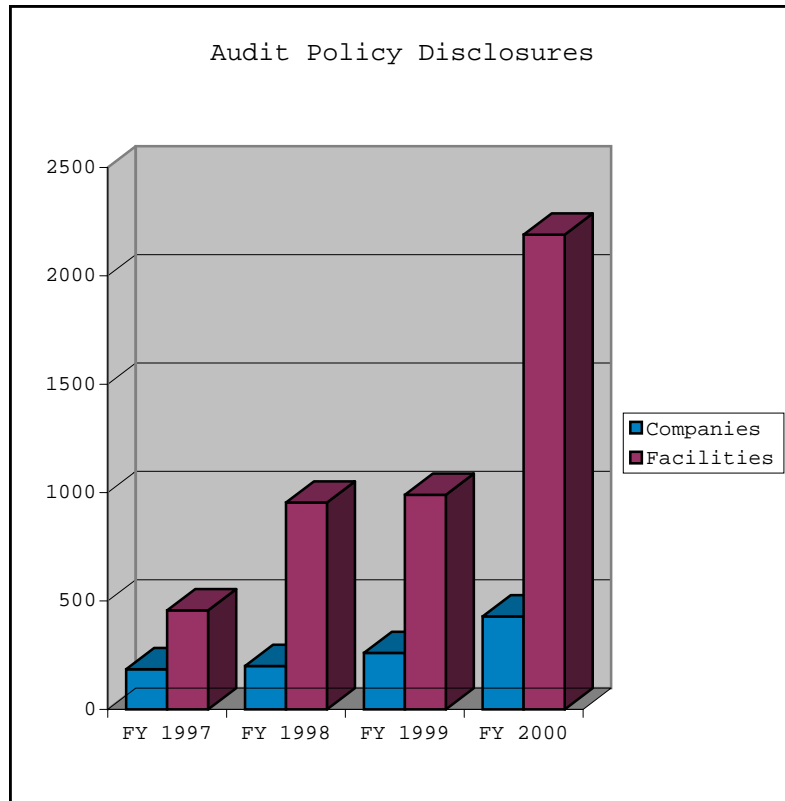
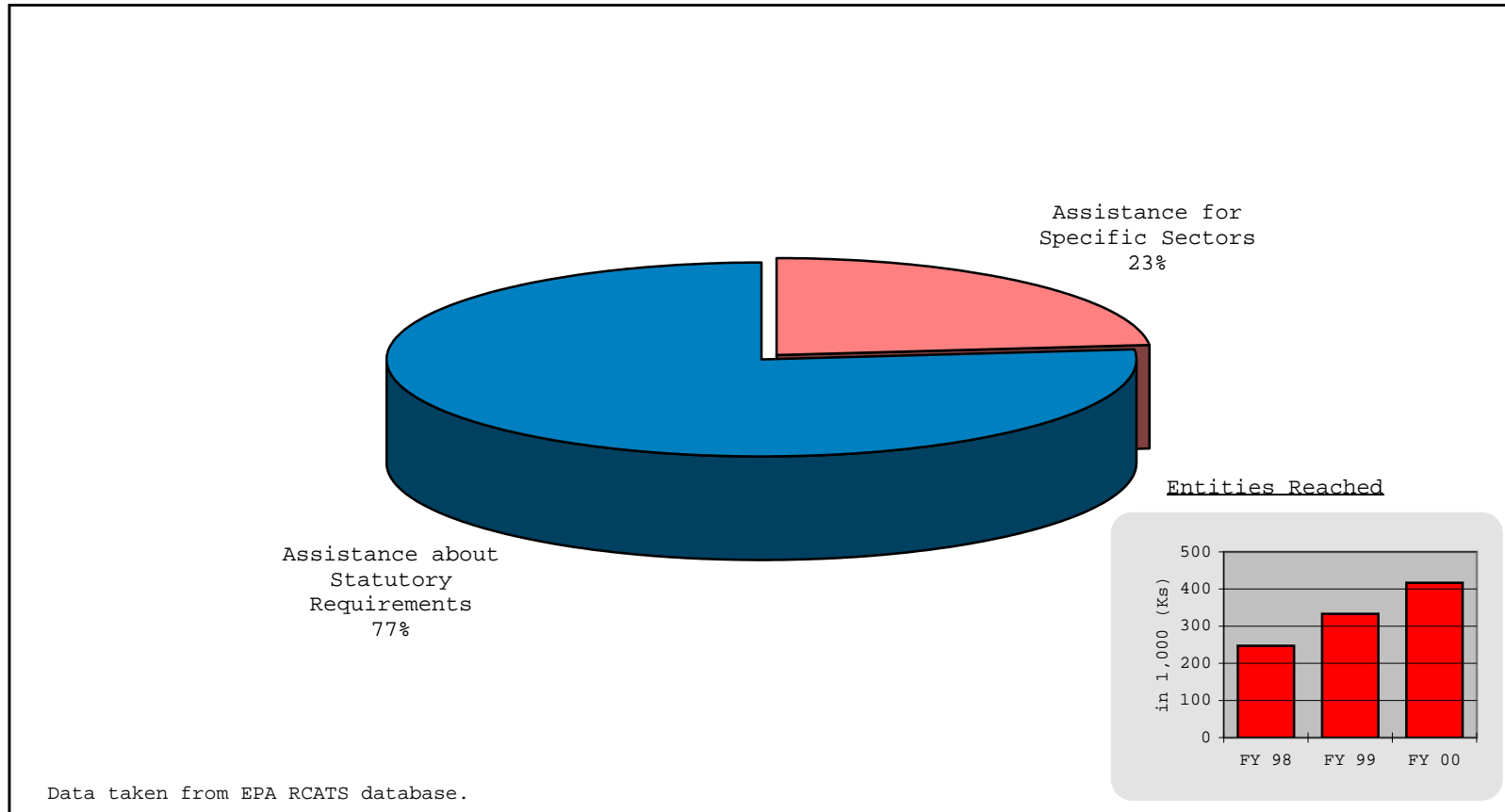


Audit Policy: Companies Disclosing and Correcting Violations Under the Agency Audit Policy Program



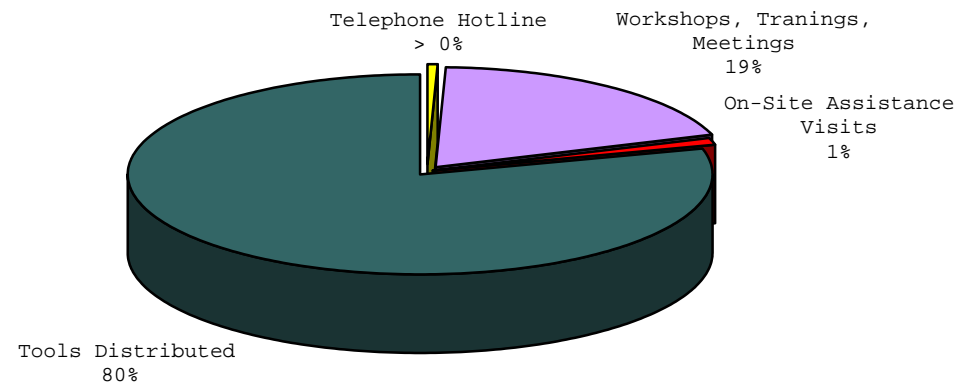
Source: Office of Regulatory Enforcement

FY 2000 National Compliance Assistance  
Number of Entities Reached = 417,377



In addition, 37,891 entities were assisted with Economically Significant Rule and SBREFA Guidance.

FY 2000 National Compliance Assistance  
How 417,337 Entities Were Reached Through Compliance Assistance



Number of Workshops, Trainings, and Meetings = 1,315  
Number of In-House Tools Developed = 140

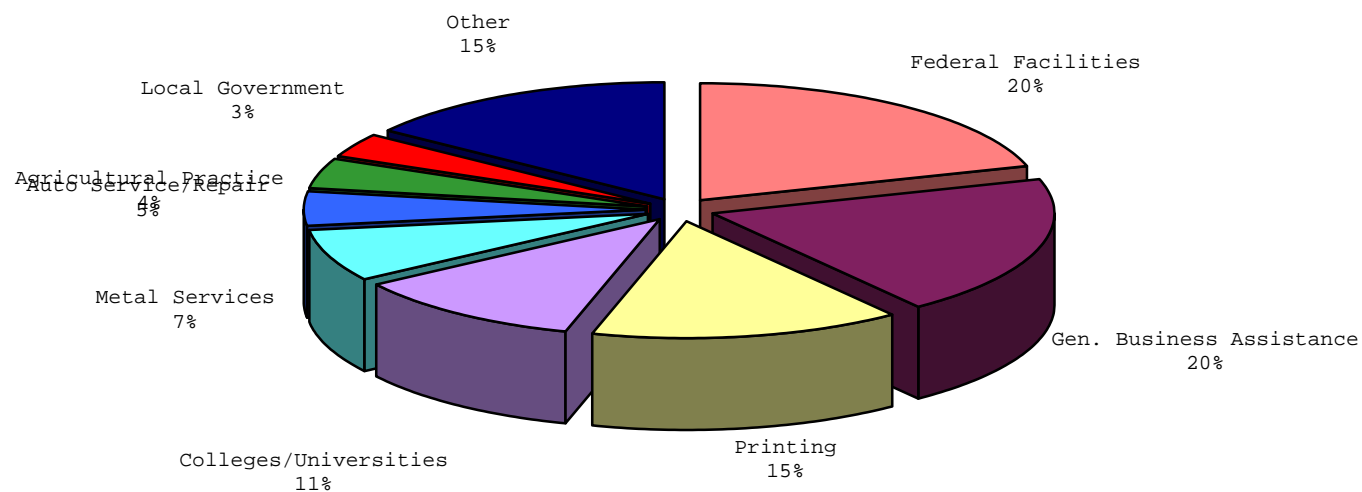
Data taken from EPA RCATS database.

FY 2000 National Compliance Assistance  
How 417,337 Entities Were Reached Through Compliance Assistance

Telephone Hotline	1874
Workshops, Tranings, Meet	79946
On-Site Assistance Visits	5666
In-House Tools Distribute	329891
	417377

FY 2000 Compliance Assistance for Industry/Business Sector Compliance  
Entities Assisted Through EPA Compliance Assistance- by Sector

In FY 2000, 97,704 entities were provided assistance with sector compliance.



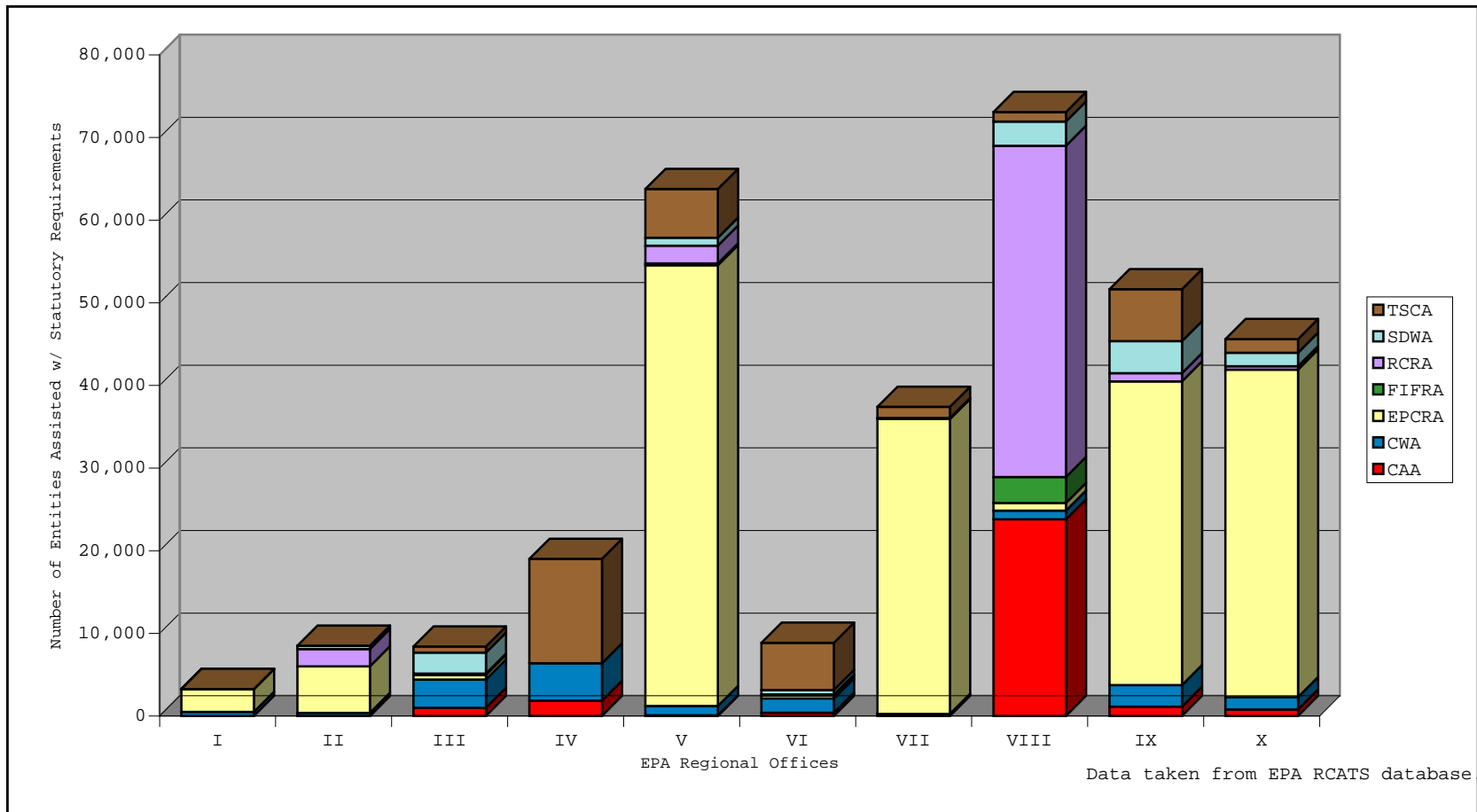
Sector assistance provided through workshops, in-house tools, hotlines, and on-sit visits.

Data taken from EPA RCATS database.

FY 2000 Compliance Assistance for Industry/Business Sector Compliance  
Entities Assisted Through EPA Compliance Assistance- by Sector

	I	II	III	IV	V	VI	VII	VIII	IX	X	total	
Federal Facility	780	309	3,375	2,461	75	1,673	1,657	3,170	3,775	78	17,353	97,704
General Business Assi	13,271	1,483	275	1,002	0	0	0	646	150	0	16,827	319,673
Printing		3,646	9,028	0	0	0	0	0	0	0	12,674	
Other	7,108	642	1,372	2,195	370	26	238	0	333	0	12,284	417,377
Colleges & Universiti	7,754	1,340	377	0	180	0	0	0	0	0	9,651	
Metal Services(ElecPl	1,210	0	1,851	1,200	0	1,781	0	0	20	0	6,062	
Auto Service/Repair	34	19	0	15	0	55	0	1,655	2,432	0	4,210	
Agricultural Practice	0	0	0	0	25	56	374	3,070	0	0	3,525	
Local Government	1,497	81	0	1,000	0	0	0	40	145	0	2,763	
Tribal Owned					60	0	0	4	215	0	279	
Dry Cleaners		62	100	46	0	0	0	0	24	0	232	
Petroleum Refining						205	0	0	0	0	205	
Primary Non-Ferrous					7	0	0	0	0	0	7	
	31,654	7,582	16,378	7,919	717	3,796	2,269	8,585	7,094	78	86,072	
	I	II	III	IV	V	VI	VII	VIII	IX	X	total	
Federal Facility	780	309	3,375	2,461	75	1,673	1,657	3,170	3,775	78	17,353	
Gen. Business Assista	13,271	1,483	275	1,002				646	150		16,827	
Printing		3,646	9,028								12,674	
Colleges/Univ.	7,754	1,340	377		180						9,651	
Metal Services	1,210		1,851	1,200		1,781			20		6,062	
Auto Service/Repair	34	19		15		55		1,655	2,432		4,210	
Agricultural Practice					25	56	374	3,070	0		3,525	
Local Government	1,497	81		1,000				40	145		2,763	
Other	7,108	704	1,472	2,241	437	231	238	4	572		13,007	
	31,654	7,582	16,378	7,919	717	3,796	2,269	8,585	7,094	78	86,072	
Federal Facilities	17,353											
Gen. Business Assista	16,827											
Printing	12,674											
Colleges/Universities	9,651											
Metal Services	6,062											
Auto Service/Repair	4,210											
Agricultural Practice	3,525											
Local Government	2,763											
Other	13,007											

FY 2000 Compliance Assistance with Statutory Requirements  
Entities Assisted by Region and Statute Addressed



Compliance assistance provided through workshops, compliance tools, hotlines and on-site visits.

# Regional Proportions of Selected FY 2000 Compliance Assistance Activities

	<u>I</u>	<u>II</u>	<u>III</u>	<u>IV</u>	<u>V</u>	<u>VI</u>	<u>VII</u>	<u>VIII</u>	<u>IX</u>	<u>X</u>
Workshops	17%	4%	13%	13%	9%	5%	8%	8%	18%	4%
Tools Developed	7%	10%	1%	12%	5%	1%	1%	24%	18%	22%
Tools Distributed	6%	4%	6%	7%	16%	3%	7%	24%	15%	12%
On-Site Visits	9%	2%	0%	12%	6%	2%	4%	50%	14%	1%
FTE Allocation	8.4%	13.4%	9.6%	12.0%	17.0%	6.8%	7.3%	6.9%	9.1%	9.4%

1. In all percentage calculations, the denominator is the national regional total.
2. Workshops and Tools Developed in-House based on % of activities.
3. Tools Distributed and on-site visits % based on # of entities reached.



FY 2000 Region I Compliance Assistance Outputs

Area	Telephone Hotline	Workshops/Tools Developed		Tools Distributed	On-Site Visits	Total No. of Entities Reached	
	# of Entities Reached	No. of Activities	# of Entities Reached	No. of Activities	# of Entities Reached		# of Entities Reached
Sector Based							
Auto Service/Repair					34	34	
Federal Facility		15	500		280	780	
General Business Assistance	39	35	1,307	6	11,910	13,271	
Local Government		25	902		523	1,497	
Colleges & Universities		20	4,754	1	3,000	7,754	
Metal Services(ElecPlat.& Coat)		36	959	1	250	1,210	
Other		26	2,795	1	4,196	7,108	
Regional Sector Totals	39	157	11,217	9	19,879	31,654	
National Sector Totals	829	699	23,009	49	71,755	97,704	
Statute Based							
CAA						0	
CWA		2	500			500	
CERCLA						0	
RCRA						0	
SDWA						0	
TSCA						0	
FIFRA						0	
EPCRA		57	2,362		400	2,762	
Regional Statute Totals	0	59	2,862	0	400	3,262	
National Statute Totals	1,045	585	56,937	91	258,136	319,673	
National Grand Totals*	1,874	*1,315	*80,375	140	*367,341	**455,268	

\* Grand Total includes HQs.

\*\* Grand Total = Sector + Statute + Economically Sig. Rule + SBREFA Guidance Development

Source: RCATS

FY 2000 Region II Compliance Assistance Outputs

Area	Telephone Hotline	Workshops/Tools Developed		Tools Distributed	On-Site Visits	Total No. of Entities Reached
	# of Entities Reached	No. of Activities	# of Entities Reached	No. of Activities	# of Entities Reached	# of Entities Reached
Sector Based						
Auto Service/Repair				2	17	19
Federal Facility	40	7	160	2	109	309
General Business Assistance		3	139	1	1,344	1,483
Local Government		1	81			81
Colleges & Universities		11	652	2	688	1,340
Printing		8	146		3,500	3,646
Dry Cleaners				8	54	62
Other		14	642	3		642
Regional Sector Totals	40	44	1,820	8	5,651	7,582
National Sector Totals	829	699	23,009	49	71,755	97,704
Statute Based						
CAA		1	20	6	2	22
CWA					358	358
CERCLA						0
RCRA		1	22		2,077	2,099
SDWA					350	375
TSCA					25	25
FIFRA						0
EPCRA		12	580		5,051	5,631
Regional Statute Totals	0	14	622	6	7,863	8,510
National Statute Totals	1,045	585	56,937	91	258,136	319,673
National Grand Totals	1,874	*1,315	*80,375	140	*367,341	**455,268

\* Grand Total includes HQs.

\*\* Grand Total = Sector + Statute + Economically Sig. Rule + SBREFA Guidance Development

Source: RCATS

FY 2000 Region III Compliance Assistance Outputs

Area	Telephone Hotline	Workshops/Tools Development Meetings/Trainings		Tools Distributed	On-Site Visits	Total No. of Entities Reached
	# of Entities Reached	No. of Activities	# of Entities Reached	No. of Activities	# of Entities Reached	# of Entities Reached
Sector Based						
Federal Facility		95	350		3,025	3,375
General Business Assistance	125	7	150			275
Colleges & Universities		8	377			377
Printing		3	28		9,000	9,028
Dry Cleaning		1	100			100
Metal Services(ElecPlat.& Coat)		10	235		1,616	1,851
Other		8	1,280		92	1,372
Regional Sector Totals	125	132	2,520	0	13,733	16,378
National Sector Totals	829	699	23,009	49	71,755	97,704
Statute Based						
CAA		2	550		450	1,000
CWA		8	600		2,810	3,410
CERCLA						0
RCRA		5	152		15	167
SDWA				2	2,539	2,539
TSCA		2	60		680	740
FIFRA						0
EPCRA		15	550			550
Regional Statute Totals	0	32	1,912	2	6,494	8,406
National Statute Totals	1,045	585	56,937	91	258,136	319,673
National Grand Totals*	1,874	*1,315	*80,375	140	*367,341	**455,268

\* Grand Total includes HQs.

\*\* Grand Total = Sector + Statute + Economically Sig. Rule + SBREFA Guidance Development

Source: RCATS

FY 2000 Region IV Compliance Assistance Outputs

Area	Telephone Hotline	Workshops/Tools Development Meetings/Training In-House		Tools Distributed	On-Site Visits	Total No. of Entities Reached
	# of Entities Reached	No. of Activities	# of Entities Reached	No. of Activities	# of Entities Reached	# of Entities Reached
Sector Based						
Auto Service/Repair		1	15			15
Federal Facility		65	625	7	1,809	2,461
General Business Assistance		40	830		86	1,002
Local Government					1,000	1,000
Dry Cleaner					16	46
Metal Services(ElecPlat.& Coat)					1,200	1,200
Other	600	2	80	3	1,475	2,195
Regional Sector Totals	600	108	1,550	10	5,586	7,919
National Sector Totals	829	699	23,009	49	71,755	97,704
Statute Based						
CAA		28	1,597	6	257	1,861
CWA	21	5	275		3,783	4,519
CERCLA						0
RCRA						0
SDWA						0
TSCA		23	1,268		11,324	12,630
FIFRA						0
EPCRA						0
Regional Statute Totals	21	56	3,140	6	15,364	19,010
National Statute Totals	1,045	585	56,937	91	258,136	319,673
National Grand Totals*	1,874	*1,315	*80,375	140	*367,341	**5,678

\* Grand Total includes HQs.

\*\* Grand Total = Sector + Statute + Economically Sig. Rule + SBREFA Guidance Development

Source: RCATS

FY 2000 Region V Compliance Assistance Outputs

Area	Telephone Hotline	Workshops/Tools Developed		Tools Distributed	On-Site Visits	Total No. of Entities Reached	
		Meetings/Trainings	In-House				
	# of Entities Reached	No. of Activities	# of Entities Reached	No. of Activities	# of Entities Reached		# of Entities Reached
Sector Based							
Agricultural Practice		2	25				25
Federal Facility		1	75				75
Tribal Owned		2	45			15	60
Colleges & Universities		2	180				180
Primary Non-Ferrous Metal						7	7
Other		1	320		50		370
Regional Sector Totals	0	8	645	0	50	22	717
National Sector Totals	829	699	23,009	49	71,755	2,111	97,704
Statute Based							
CAA		1	30		56	1	87
CWA	13			2	1,005	112	1,130
CERCLA							0
RCRA	1	16	621	3	1,538		2,160
SDWA		24	151		582	225	958
TSCA	6	55	5,075		817	1	5,899
FIFRA	2	2	210			1	213
EPCRA		10	7,750	2	45,576		53,326
Regional Statute Totals	22	108	13,837	7	49,574	340	63,773
National Statute Totals	1,045	585	56,937	91	258,136	3,555	319,673
National Grand Totals*	1,874	*1,315	*80,375	140	*367,341	**5,678	**455,268

\* Grand Total includes HQs.

\*\* Grand Total = Sector + Statute + Economically Sig. Rule + SBREFA Guidance Development

Source: RCATS

717

FY 2000 Region VI Compliance Assistance Outputs

Area	Telephone Hotline	Workshops/Tools Developed		Tools Distributed	On-Site Visits	Total No. of Entities Reached	
	# of Entities Reached	No. of Activities	# of Entities Reached	No. of Activities	# of Entities Reached		# of Entities Reached
Sector Based							
Auto Service/Repair					55		55
Agricultural Practice		12	56				56
Federal Facility		8	173	1	1,445	55	1,673
Petroleum Refining		9	205				205
Metal Services (Elec/Coating)		1	24		1,757		1,781
Other		1	25		1		26
Regional Sector Totals	0	31	483	1	3,258	55	3,796
National Sector Totals	829	699	23,009	49	71,755	2,111	97,704
Statute Based							
CAA		3	400				400
CWA		15	1,228		435	60	1,723
CERCLA							0
RCRA		3	116				116
SDWA	1	1	50		468	14	533
TSCA	4	2	100		5,612	2	5,718
FIFRA							0
EPCRA		12	375				375
Regional Statute Totals	5	36	2,269	0	6,515	76	8,865
National Statute Totals	1,045	585	56,937	91	258,136	3,555	319,673
National Grand Totals*	1,874	*1,315	*80,375	140	*367,341	**5,678	**455,268

\* Grand Total includes HQs.

\*\* Grand Total = Sector + Statute + Economically Sig. Rule + SBREFA Guidance Development

Source: RCATS

FY 2000 Region VII Compliance Assistance Outputs

Area	Telephone Hotline	Workshops/ Meetings/Trainings		Tools Developed In-House	Tools Distributed	On-Site Visits	Total No. of Entities Reached
	# of Entities Reached	No. of Activities	# of Entities Reached	No. of Activities	# of Entities Reached	# of Entities Reached	
Sector Based							
Agricultural Practice		1	374				374
Federal Facility		31	241	1	1,416		1,657
General Business Assistance							0
Local Government							0
Colleges & Universities							0
Metal Services(ElecPlat.& Coat)							0
Other		1	15			223	238
Regional Sector Totals	0	33	630	1	1,416	223	2,269
National Sector Totals	829	699	23,009	49	71,755	2,111	97,704
Statute Based							
CAA		5	86		105		191
CWA		2	55			12	67
CERCLA							0
RCRA	17	3	104				121
SDWA							0
TSCA		8	527		825		1,352
FIFRA							0
EPCRA		45	16,358		19,319		35,677
Regional Statute Totals	17	63	17,130	0	20,249	12	37,408
National Statute Totals	1,045	585	56,937	91	258,136	3,555	319,673
National Grand Totals*	1,874	*1,315	*80,375	140	*367,341	**5,678	**455,268

\* Grand Total includes HQs.

\*\* Grand Total = Sector + Statute + Economically Sig. Rule + SBREFA Guidance Development

Source: RCATS

FY 2000 Region VIII Compliance Assistance Outputs

Area	Telephone Hotline	Workshops/ Meetings/Trainings		Tools Developed In-House	Tools Distributed	On-Site Visits	Total No. of Entities Reached
	# of Entities Reached	No. of Activities	# of Entities Reached	No. of Activities	# of Entities Reached	# of Entities Reached	
Sector Based							
Auto Service/Repair		27	395	7	639	621	1,655
Agricultural Practice		4	100	1	2,910	60	3,070
Federal Facility		18	581	9	2,433	156	3,170
General Business Assistance					646		646
Local Government		1	40				40
Tribal Owned						4	4
Other							0
Regional Sector Totals	0	50	1,116	17	6,628	841	8,585
National Sector Totals	829	699	23,009	49	71,755	2,111	97,704
Statute Based							
CAA		10	436		22,814	546	23,796
CWA	1	14	700		333	26	1,060
CERCLA				2			0
RCRA		1	45		40,011	21	40,077
SDWA	200	15	730	14	1,579	431	2,940
TSCA		8	250		36	860	1,146
FIFRA		2	60		3,000	81	3,141
EPCRA		6	320		600		920
Regional Statute Totals	201	56	2,541	16	68,373	1,965	73,080
National Statute Totals	1,045	585	56,937	91	258,136	3,555	319,673
National Grand Totals*	1,874	*1,315	*80,375	140	*367,341	**5,678	**455,268

\* Grand Total includes HQs.

\*\* Grand Total = Sector + Statute + Economically Sig. Rule + SBREFA Guidance Development

Source: RCATS



FY 2000 Region IX Compliance Assistance Outputs

Area	Telephone Hotline	Workshops/Tools Developed Meetings/Trainings		In-House	Tools Distributed	On-Site Visits	Total No. of Entities Reached
	# of Entities Reached	No. of Activities	# of Entities Reached	No. of Activities	# of Entities Reached	# of Entities Reached	
Sector Based							
Auto Service/Repair		1	32		2,400		2,432
Federal Facility		87	1,507		2,200	68	3,775
General Business Assistance		3	150				150
Local Government		4	145				145
Tribal Owned Entites		7	215				215
Metal Services(ElecPlat.&	20			1			20
Dry Cleaners	5				19		24
Other	0	13	262		20	51	333
Regional Sector Totals	25	115	2,311	1	4,639	119	7,094
National Sector Totals	829	699	23,009	49	71,755	2,111	97,704
Statute Based							
CAA	80	3	350	5	400	302	1,132
CWA		39	2,415		200		2,615
CERCLA							0
RCRA	200	9	565		14	223	1,002
SDWA		23	676	2	3,180	13	3,869
TSCA	139	22	2,019	14	4,009	114	6,281
FIFRA							0
EPCRA		10	461	2	36,278		36,739
Regional Statute Totals	419	106	6,486	23	44,081	652	51,638
National Statute Totals	1,045	585	56,937	91	258,136	3,555	319,673
National Grand Totals*	1,874	*1,315	*80,375	140	*367,341	**5,678	**455,268

\* Grand Total includes HQs.

\*\* Grand Total = Sector + Statute + Economically Sig. Rule + SBREFA Guidance Development

Source: RCATS

FY 2000 Region X Compliance Assistance Outputs

Area	Telephone Hotline	Workshops/ Tools Developed Meetings/Training In-House		Tools Distributed	On-Site Visits	Total No. of Entities Reached
	# of Entities Reached	No. of Activities	of Entities Reached	No. of Activities	# of Entities Reached	# of Entities Reached
Sector Based						
Auto Service/Repair						0
Federal Facility					78	78
General Business Assistance						0
Local Government						0
Colleges & Universities						0
Metal Services(ElecPlat.& Coat)						0
Other						0
Regional Sector Totals	0	0	0	0	78	78
National Sector Totals	829	699	23,009	49	71,755	97,704
Statute Based						
CAA					790	790
CWA		11	700		800	1,500
CERCLA						0
RCRA	360	2	50			410
SDWA		21	1,628			1,628
TSCA		12	1,200		450	1,650
FIFRA						0
EPCRA		7	2,560	30	37,063	39,623
Regional Statute Totals	360	53	6,138	30	39,103	45,601
National Statute Totals	1,045	585	56,937	91	258,136	319,673
National Grand Totals*	1,874	*1,315	*80,375	140	*367,341	**455,268

\* Grand Total includes HQs.

\*\* Grand Total = Sector + Statute + Economically Sig. Rule + SBREFA Guidance Development

Source: RCATS

# NPMS Measure Set 11: Capacity Building Activities

<u>Capacity Building Activities</u>	<u>EPA Regions</u>										Total
	I	II	III	IV	V	VI	VII	VIII	IX	X	
Training Courses	6	59	43	69	28	23	41	84	84	26	463
Assisted Inspections	29	96	30	154	53	81	50	306	114	219	1,132
Special Assistance	49	1	6	234	555	189	201	111	136	45	1,527

<u>Regulatory Determinations</u>	I	II	III	IV	V	VI	VII	VIII	IX	X	Total
CAA	93	4	12	27	125	415	388	75	17	200	1,356
CWA	0	0	933	214	245	261	90	73	120	0	1,936
Pesticides	10	0	6	702	87	8	171	6	176	166	1,332
RCRA	1,072	45	2	65	6	3	0	165	50	0	1,408
SDWA	0	75	2	0	85	11	91	16	16	0	296
Toxics	0	59	0	62	23	15	53	0	0	77	289
UST	0	50	0	6	0	0	0	0	0	0	56
Other	0	0	0	8	0	13	0	0	0	7	28
Totals	1,175	233	955	1,084	571	726	793	335	379	450	6,701

Source: Manual Reports